

Syracuse University
Office of Technology Transfer

STEM Faculty - Corporate Sponsored Research & Intellectual Property Questionnaire

Please complete this form for the Office of Technology Transfer to analyze the intellectual property (IP) that may be subject to the terms of a proposed project or newly awarded proposal. When completing the form, consider the proposal narrative and/or the Statement of Work (SOW) with respect of past, present and future intellectual property. Please be over inclusive when answering the questions below, so a thorough IP analysis can be performed:

1. Are the use of Syracuse University-owned patents, and/or patents-pending, and/or pending technology disclosures needed for the SOW as it is currently defined? Will the team need to leverage existing patent-pending or patented methods, compositions of matter, processes, etc. or even existing built-at-SU software to perform the SOW?

___ **Yes**

It is SU file No. _____ Name _____
Repeat as necessary

It is SU file No. _____ Name _____
Repeat as necessary

It is SU file No. _____ Name _____
Repeat as necessary

___ **No**, read sections 3 and 4 and reassess. If still no, proceed to section 2.

2. Whether you answered “yes” or “no” above, consider whether the SOW/project as contemplated could be the subject matter of a patent application, or be protected via other types of other intellectual property coverage (copyright is another example).

___ **No**

___ **Yes** *If yes, Tech Transfer will ask patent counsel to review the SOW, in conjunction with an invention disclosure. If the analysis determines that new IP was constructively reduced to practice (as can happen when developing a SOW), SU will work to expedite the process for drafting and filing a patent application. This is a just-in-time process to capture and flag IP as “Background IP.”*

3. IP already exists, but is either a) not owned by SU in full or b) not at all, is or may be needed to perform the SOW

___ **No**

___ **Yes**

If yes, please provide:

Name of the IP: _____

Name of the possible owner or rights-holder: _____

4. Please indicate your best sense of whether the SOW/project as contemplated could result in the creation of new IP.

___ Yes, why _____ likelihood range _____% to _____%
___ No, why _____

Please complete for each PI and faculty investigator and have them sign:

Name:	Date:
Department:	Sponsor Name:
Signature:	
Project Title:	

Name:	Date:
Department:	Sponsor Name:
Signature:	
Project Title:	

Name:	Date:
Department:	Sponsor Name:
Signature:	
Project Title:	